

17 (SFC)





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17-Year-Old Hong Kong Licence (SFC) for sale. Active: Clean record, No open or unresolved legal issues.

Permissions

Type 1, Dealing in Securities (HK Stock Trading, US Stock Trading, Margin Financing)

Type 2, Dealing in Futures Contracts (HK Futures and Options, Global Futures and Options.)

Type 4, Advising on Securities

Type 5, Advising on Futures Contracts

Type 6, Advising on corporate finance

Type 9, Asset Management (For Type 9 regulated activity, the licensee shall not conduct business involving the discretionary management of any collective investment scheme. The term "collective investment scheme" is as defined under the Securities and Futures Ordinance.)

- HKEX Stock Trading Right 4. Rights to or interests in investments
- HKEX Futures Trading Right (Contractually Based Investments)
- HKEX Direct Clearing Participant of 5. Rolling spot forex contract HKSCC(Securities)
- HKEX Clearing Participant of HKCC

Monthly volume HKD400 – 500m. Listed company as shareholder.

The licensee shall only provide services to professional investors. The term "professional investor" is as defined in the Securities and Futures Ordinance and its subsidiary legislation.

BUSINESS TYPE

COUNTRY

BUSINESS ID
L#20220369

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